Graduate lecture at University of Maryland: on 17 August 2012 Update: 11 July 2013

BIOPHARMACEUTICS CLASSIFICATION SYSTEM: A BASIS FOR REGULATORY WAIVER OF IN VIVO BA/BE STUDIES





http://www.ajazhussain.com

Update

ViroPharma Suit Against FDA Over Generic Vancocin Tossed

[Bloomberg. By Andrew Zajac & Tom Schoenberg - Jan 9, 2013]

The company failed to produce new evidence following rejection in April of its request for a court order to block FDA approval of three generic versions of Vancocin

• U.S. District Judge Ellen Segal Huvelle in Washington said today in her ruling.

• The case is ViroPharma Inc. v. Hamburg, 12-cv-00584, U.S. District Court, District of Columbia(Washington)

Story of a Seminal Scientific Contribution & Its Regulatory Applications (1995-2000)

A theoretical basis for a Regulatory applications biopharmaceutic drug classification: the correlation • 1995: SUPAC IR of in vitro drug product • 2000: "Waiver of In Vivo Bioavailability and Bioequivalence dissolution and in vivo Studies for Immediate-Release Solid Oral Dosage Forms bioavailability. Amidon GL, Based on a Biopharmaceutics Classification System" Lennernäs H, Shah VP, Crison (August 2000) JR. Pharm Res. 1995 Future applications? Mar;12(3):413-20.

What is BCS?

A paradigm shift

http://www.fda.gov/ohr ms/dockets/ac/04/slides /2004-4078S2_10_Amidon_files/ frame.htm

- $M(t) = \iiint_{0}^{t} PC(\frac{dA}{dt})$ • $K_{a} = (\frac{S}{V})P_{eff}$ • Absorption number $A_{n} = \frac{Peff}{R} . < T_{si} >$
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- Class III—high solubility, low permeability: exhibit permeability rate-limited absorption
- Class IV—low solubility, low permeability: very poor oral bioavailability

Regulatory Applications?

Initial application in SUPAC-IR (1995)	• Types of dissolution test comparisons for manufacturing and formulation changes
Waiver of In vivo BA/BE BCS Guidance (2000)	• Methods to classify per BCS and criteria for biowaiver
Efforts to extend biowaivers (beyond 2000)	Several workshops and reports
A relatively recent application to a 'locally acting' drug	• Debate and court case
Opportunities for Quality by Design	• BCS a foundational element of QbD

Learning objectives



Broadly, gain an understanding of considerations for translating scientific knowledge into regulatory policy

(1). Considerations for developing the FDA guidance "Waiver of In Vivo Bioavailability and Bioequivalence Studies for Immediate-Release Solid Oral Dosage Forms Based on a Biopharmaceutics Classification System" (August 2000)



Develop a basis to critically evaluate considerations utilized for development of the FDA guidance document

(2) What questions should you ask?

(3) What assumptions should you accept?

(4) How precise should your answers be?



Identify and explain how future regulatory applications of BCS may be realized in the context of 'Quality by Design'

(5) How should you 'connect the dots': CMC – BA/BE?

Can you justify new applications of BCS (i.e., beyond the 2000 guidance)?

Presentation outline



VIROPHARMA INC., PLAINTIFF, VS. (FDA) MARGARET A. HAMBURG, M.D., ET AL., DEFENDANTS.

IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA Civil Action No. 12-cv-00584-ESH Filed 04/17/12



http://www.fdalawblog.net/fda_law_blog_hyman_phelps/2012/04/court-denies-viropharmas-motion-for-tropi-in-vancomycin-caseleaves-generics-on-the-market.html

23 April 2012 Memorandum of Opinion

The Judge



http://en.wiki pedia.org/wiki /Ellen_Segal_H uvelle#Early_li fe_and_career

and citation omitted). Especially given the scientific expertise driving the FDA's well-reasoned decision in this matter, see Serono Labs., 158 F.3d at 1320, the Court concludes that ViroPharma is unlikely to prevail on the merits of its bioequivalence claim. The FFDCA and a number of the FDA's own regulations grant the agency wide discretion in "determin[ing] whether bioequivalence has been established." Bristol-Myers Squibb Co. v. Shalala, 923 F. Supp. 212, 217 (D.D.C. 1996).²⁷ Furthermore, in addition to the provision on which the agency specifically relied, 21 C.F.R. § 320.22(e), "waivers" of any in vivo bioequivalence requirement for ANDAs may be permitted pursuant to, inter alia, §§ 320.21(b)(2), 320.21(f), 320.22, and 320.24(b)(6). Where the regulations allow so many "waivers," the "default" position that ViroPharma argues is difficult to discern.²⁸ In light of the deference owed, the Court has no basis for overruling the

Memorandum Opinion issued on April 23, 2012

TITLE 21--FOOD AND DRUGS CHAPTER I--FOOD AND DRUG ADMINISTRATION SUBCHAPTER D--DRUGS FOR HUMAN USE

- PART 320 <u>BIOAVAILABILITY AND BIOEQUIVALENCE REQUIREMENTS</u>
- <u>Subpart A--General Provisions</u>
 - § 320.1 Definitions.
- Subpart B--Procedures for Determining the Bioavailability or Bioequivalence of Drug Products
 - § 320.21 Requirements for submission of bioavailability and bioequivalence data.
 - § 320.22 Criteria for waiver of evidence of in vivo bioavailability or bioequivalence.
 - § 320.23 Basis for measuring in vivo bioavailability or demonstrating bioequivalence.
 - § 320.24 Types of evidence to measure bioavailability or establish bioequivalence.
 - § 320.25 Guidelines for the conduct of an in vivo bioavailability study.
 - § 320.26 Guidelines on the design of a single-dose in vivo bioavailability or bioequivalence study.
 - § 320.27 Guidelines on the design of a multiple-dose in vivo bioavailability study.
 - § 320.28 Correlation of bioavailability with an acute pharmacological effect or clinical evidence.
 - § 320.29 Analytical methods for an in vivo bioavailability or bioequivalence study.
 - § 320.30 Inquiries regarding bioavailability and bioequivalence requirements and review of protocols by the Food and Drug Administration.
 - § 320.31 Applicability of requirements regarding an "Investigational New Drug Application."
 - § 320.32 Procedures for establishing or amending a bioequivalence requirement.
 - § 320.33 Criteria and evidence to assess actual or potential bioequivalence problems.
 - § 320.34 Requirements for batch testing and certification by the Food and Drug Administration.
 - § 320.35 Requirements for in vitro testing of each batch.
 - § 320.36 Requirements for maintenance of records of bioequivalence testing.
 - § 320.38 Retention of bioavailability samples.
 - § 320.63 Retention of bioequivalence samples

Draft Guidance on Vancomycin Hydrochloride (2008)



http://www.fda.gov/OHRMS/DOCKETS/98fr/FDA-2008-D-0626-gdl.pdf

Plaintiffs' Argument Prior to the Court Case

30 June 2009, Plaintiffs' briefing document FDA Advisory Committee for Pharmaceutical Science and Clinical Pharmacology

"Is essentially the **Biopharmaceutics** Classification System (BCS)-based biowaiver, which was developed using healthy GI parameters to predict the absorption of systemically acting drugs from the healthy gut and was not intended for use in predicting the in vivo performance of locally acting GI drugs"

Healthy GI physiological parameters may not be an appropriate in vitro model for assessing BE with locally acting GI drugs used to treat serious GI disease

Oral Vancomycin is Systemically Absorbed in Some Patients and has Been Linked with Systemic Toxicity. Does a Biowaiver Ensure Safety or Should In Vivo Testing be Considered for this Drug?

Extension of a Biowaiver to a New Class of Drug Should be Evidence-Based and Data-Driven.

Evaluation of Inactive Ingredients

http://www.fda.gov/downloads/AdvisoryCom mittees/CommitteesMeetingMaterials/Drugs/ AdvisoryCommitteeforPharmaceuticalSciencea ndClinicalPharmacology/UCM173159.pdf

Plaintiffs, In-Part, Based their Arguments on a Previous ACPS Discussion (October 20, 2004)

Bioequivalence Testing for Locally Acting Gastrointestinal

ACPS presentations: Prof. Amidon http://www.fda.gov/ohrms/docke ts/ac/04/slides/2004-4078S2_10_Amidon_files/frame.ht m and OGD (Lionberger) http://www.fda.gov/ohrms/docke ts/ac/04/slides/2004-4078C2_11_Lioptorer_files/frame

4078S2_11_Lionberger_files/frame htm As the Deputy Director of FDA's Office of Pharmaceutical Science Dr. Ajaz Hussain summarized in his concluding remarks, "I don't want to sort of jump in and say all right" and simply apply the BCS approach to locally acting GI drugs, in part because issues relating to "volume" and "hydrodynamics" merited close attention. *Consequently, he said, "we have* to give some thought to how we would approach that, so it is not a trivial matter."

(http://www.fda.gov/downloads/AdvisoryCommittees /CommitteesMeetingMaterials/Drugs/AdvisoryCommit teeforPharmaceuticalScienceandClinicalPharmacology/ UCM173159.pdf) My comments (cited) related to broad policy decision; not relevant to specific case example as in this case.

FDA 'point-to-point' response to the Citizen Petition addressed the questions posed

http://www.regulations.gov/#!documentDetail;D=FDA-2006-P-0007-0051

Congressional Record - Senate (S5649-5650), May 7, 2007 - [D. Robertson -Comment]

http://www.regulations.gov/#!documentDetail;D=FDA-2006-P-0007-0014

Re-cap and Next Steps



CONSIDERATIONS FOR DEVELOPING THE FDA GUIDANCE: QUESTIONS, ASSUMPTIONS, AND NEEDED PRECISION

Reflecting back to 1995-2000

Note: A number of data slides to follow have been taken from a previous presentation available at:

www.fda.gov/ohrms/dockets/ac/05/.../2005-4137S2_02_Hussain.ppt

Need to Reduce Our Reliance on In Vivo BE Studies: Why?



Therapeutic Equivalence



Regulatory Bioequivalence: A Summary



Dissolution Test Methods



- > 900 ml, 37°C
- > Water, 0.1 N HCl, pH 6.8 buffer, or...
- > 50 rpm (paddle), 100 rpm (basket),...
- > Vessel geometry
- > Location of dosage unit

Dissolution tests: Debates

Dissolution tests are "over discriminating"

Products that dissolve about 70% in 45 minutes have no medically relevant bioequivalence problems Dissolution tests are not sufficient to assure bioequivalence

Demonstration of IVIVC is necessary

IVIVC's are "Product Specific"

Failure to Discriminate Between Bio-in-equivalent Products: Inappropriate Acceptance Criteria



Failure to Discriminate Between Bio-in-equivalent Products: Inappropriate Test Method?

(weak acid, rapid dissolution in SIF)



Dissolution Test Problems: False +ives and -ives

Test/Ref. Mean

	15 min	30 min	45 min	AUC	Cmax
Ref	95	96	98	100	100
В	96	97	97	104	95
C	<mark>62</mark>	<mark>84</mark>	<mark>92</mark>	<mark>84</mark>	<mark>55</mark>
D	82	94	95	88	87
E	<mark>103</mark>	<mark>103</mark>	<mark>103</mark>	<mark>112</mark>	<mark>120</mark>
F	13	<mark>35</mark>	<mark>53</mark>	100	102

I. J. MacGilvery. Bioequivalence: A Canadian Regulatory Perspective. In, Pharmaceutical Bioequivalence . Eds. Welling, Tse, and Dighe. Marcel Dekker, Inc., New York, (1992)).

"Formulation Specific" IVIVC

Peak Concentration Vs. % Dissolved in vitro Clarke et al. J. Pharm. Sci. 66: 1429, 1977



% Dissolved in 40 minutes

Dissolution Test & Bioequivalence: Risk Assessment



Dissolution Specification

Typical Physiologic Parameters: Single Dose Fasting BE Study

Volume = Gastric fluid + 8 oz water (~300 ml) pH of gastric fluid = 1-3 Res. time (fasting) = variable; T50%=15 min. Permeability - Low , compared to Small Intestine. Surface tension lower than water,

Hydrodynamics?

Volume (fasting) = what gets emptied + SI vol.(500 ml?) pH = 3-8, surface tension low,... Res. time (fasting): 2-4 hours Permeability - high compared to other parts

When you change the way you look at a thing....

The paradigm ≺ shifts

http://www.fda.gov/ohr ms/dockets/ac/04/slides /2004-4078S2_10_Amidon_files/ frame.htm

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SUPAC-IR/BCS: For some 'Level 2' Changes

	HS/HP	LS/HP	HS/LP	LS/LP
Critical Process	Gastric	Dissolution	Permeability	D/P
IVIVC	Emptying Not likely	Likely	Not likely	(?)
Method	0.1 N HCl	pH 1 - 7.4	App/Comp	In Vivo BE
Acceptance Criteria	Single point 85% in 15 min	Multiple profiles	Single profile $(f2 > or = 50)$	AUC & Cmax 90% CI
		(f2 > or = 50)		80-125%

Note: NTI drugs excluded for some Level 2 Changes

Criteria for Biowaiver, New Applications?



BCS: Class Membership



Metoprolol IR Tablets: In Vitro - In Vivo Relationship



7/11/2013

Metoprolol IR Tablets: Experimental & Simulation Data



Kaus LC, Gillespie WR, Hussain AS, Amidon GL. *The effect of in vivo dissolution, gastric emptying rate and intestinal transit time on the peak concentration and area-under-the-curve of drugs with different gastrointestinal permeabilities.* Pharm. Res. ,16, 272 (1999)



BCS Class Membership: Risk Management



strength at the lowest solubility on the pH 1-7.5 range

Re-cap and Next Steps



Assumptions Acceptable to the Society?



Question: Why a tablet can exhibit higher bioavailability than a solution?



Experimental Formulation

	Reference	TEST formulation	
Sucrose* (high permeability)	5 g	0 g	
Sorbitol (low permeability)	0 g	5 g	
Water	15 ml	15 ml	
Drug 1	Ranitidine (low permeability)		
Drug 2	Metoprolol (high permeability)		

A Modern View of Excipient Effects on Bioequivalence: Case Study of Sorbitol

<u>M.-L. Chen, A. B. Straughn, N. Sadrieh, M. Meyer, P. J. Faustino, A. B. Ciavarella, B. Meibohm, C. R. Yates</u> and <u>A. S. Hussain</u> <u>Pharmaceutical Research</u>, <u>Volume 24</u>, <u>Number 1</u> (2007), 73-80, DOI: 10.1007/s11095-006-9120-4

* Rapidly metabolized at/in the intestinal wall to glucose and fructose, both exhibit complete absorption

7/11/2013

Low permeability excipient can reduce bioavailability of a low permeability drug!



Ranitidine: 150 mg Sucrose: 5 g Sorbitol: 5 g

<u>A Modern View of Excipient Effects on Bioequivalence: Case Study of Sorbitol</u>

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Question: How do we select and evaluate the impact of excipients on BA/BE?



Prescription for trouble*

How flaw in FDA safety net may pose risk to public with generic drugs

- Tom Abate, Todd Wallack, Chronicle Staff Writers
- San Francisco Chronicle. Sunday, December 22, 2002

The issues

- "[Company X] had tested its generic with chocolate milk. [Ref.product] was chased with apple juice."
- "Did that matter?"

http://www.sfgate.com/cgi-bin/article.cgi?f=/c/a/2002/12/22/MN35888.DTL&ao=all

How precise should our answer be?

In parallel to development of BCS guidance the FDA had proposed replacing the "average bioequivalence" criterion with population and individual bioequivalence criteria

- To consider variances in addition to the difference of averages.
- One of these variances in the individual bioequivalence criterion measures **subject-by-formulation interaction**, the extent to which the test-reference difference varies from person to person.



Ranitidine AUC in individuals as a function of sucrose or sorbitol

http://www.fda.gov/ohrms/dockets/ac/00/slides/3657s2_07.pdf

Policy Recommendation: Waiver of *in vivo* BE studies based on BCS (8/30/2000)

Recommended for a solid oral <u>Test</u> product that exhibit *rapid* (85% in 30 min) and *similar in vitro* dissolution under specified conditions to an approved <u>Reference</u> product when the following conditions are satisfied:

Products are pharmaceutical equivalent Drug substance is highly soluble and highly permeable and is not considered have a narrow therapeutic range

Excipients used are not likely to effect drug absorption

BCS a tool for risk management (2000)



Reliance on current dissolution practice can poses an unacceptable level of risk (2000)

Compared to high solubility drugs

Risk is higher for low solubility drugs

Products with slow or extended dissolution profiles pose a higher risk (dissolution rate limiting)	Need for a rapid dissolution criteria	
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Potential for differences between *in vivo* and *in vitro* "sink" conditions and impact of excipients

Higher for low permeability drugs

Re-cap (2000) & Update (Current state)

At the time the BCS guidance was being developed

> Focus on the "right question" during FDA review needed improvement

Understanding of excipient*drug interactions was evolving

Improved criteria for pharmaceutical equivalence assessment was needed

Debate on "average bioequivalence", population and individual bioequivalence criteria Following issuance of the BCS guidance

FDA maintained "avg. BE" & launched the PAT/QbD program

FDA-OGD adopted a "Question based Review" process to focus on the "right questions"

OGD is now actively seeking to link QbD to Pharmaceutical Equivalence Assessment

"Pharmaceutical equivalence by design for generic drugs: modifiedrelease product" <u>Pharm Res.</u> 2011 Jul;28(7):1445-53

Re-cap and Next Steps



Drug Release & Quality by Design

- Christopher Sinko, Ph.D., at the Advisory Committee for Pharmaceutical Science meeting, on October 25, 2005
 - <a>www.fda.gov/ohrms/dockets/ac/05/.../2005-4187S1_05_Sinko.ppt
 - Clinical relevance of release and stability specifications
 - Correlation between process parameters and ability to achieve specifications (and therefore remain clinically relevant
- Once a formulation scientist understands the patient's requirements, they can design a formulation using either or both approaches:
 - <u>Prior knowledge</u>: choose API form, excipients and processes that will achieve the expected release profile
 - <u>QBD</u>: select API form, excipients and processes that have greatest impact on quality attributes that affect release of drug
 - Selections based on theoretical/fundamental understanding, alternative measurements and heuristic development



Features of "Quality by Design": doing things consciously*

*A Quality by Design Approach to Dissolution Based on the Biopharmaceutical Classification System, R. Reed

Design Space: API Particle Size

<u>www.fda.gov/ohrms/do</u> <u>ckets/ac/05/.../2005-</u> <u>4187S1_05_Sinko.ppt</u>



Represents a known interaction

Chemometrics, Pharmacometrics and Econometrics: Three Dimensions of QbD



Opportunities; only when the disciplinary divides are bridged



A vision becoming reality....

"I can see clearly now" Vision 2020

- Perspectives on Regulation: Law, Discretion, and Bureaucratic Behavior (Kagan and Scholz, May 1980)
 - 'Good citizens' Vs. {'political citizens, 'incompetent', and/or 'amoral'}
- For FDA to be science and risk-based it needs scientific data & information, capability, ..

"Scientific explanation yields understanding"



http://www.fda.gov/ohrms/dockets/ac/04/briefing/2004-4052B1_09_Hussain-Arden-UK-Presentation.ppt

Learning objectives



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Develop a basis to critically evaluate considerations utilized for development of the FDA guidance document

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Identify and explain how future regulatory applications of BCS may be realized in the context of 'Quality by Design'

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Can you justify new applications of BCS (i.e., beyond the 2000 guidance)?

Presentation Summary

